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PATENTS & TRADEMARKS
WASHINGTON, D.C. 20231, U.S.A.



INVENTOR(S): Stéphanie Maric-Julie Jette
Pierre Simon Joseph Berini

ENTITLED: Optical Waveguide Filters

DOCKET NO: AP875US

THE ATTACHED STAMP CONFIRMS RECEIPT BY THE UNITED STATES PATENT AND
TRADEMARK OFFICE OF THE FOLLOWING:

- ☒ Abstract
- ☒ Specification - 31 pages
- ☒ Claims 1 - 35
- ☒ Drawing(s) 24 sheet(s)
- ☒ Declaration for Utility or Design Patent Application
- ☒ Power of Attorney or Authorization of Agent (2)
- ☐ Declaration in compliance with 37 C.F.R. §1.63
- ☐ Certified Copy of Priority Application
- ☒ Priority is claimed from US provisional application No. 60/20,519
- ☒ C-I-P of US patent applications Nos. 09/742,422 and 09/629,816
- ☒ Assignment(s) to 3849988 Canada Inc.
- ☐ Information Disclosure Statement (incl. 2 copies of Form 1449)
- ☐ Preliminary Amendment
- ☒ Fee Transmittal Form PTO/SB/17 two copies
- ☒ Other - Authorization to deduct fee or any deficiency from Deposit account No. 20-0771

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PTO/SB/17 (11-00)

Approved for use through 10/31/2002, OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$1016.00)

Complete if Known

Application Number
Filing Date
First Named Inventor **Stéphanie Marie-Julie JETTE**
Examiner Name
Group Art Unit
Attorney Docket No. **AP875US**

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **20-0771**Deposit Account Name **Adams Cassan Maclean**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	355.00
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
74	-20** = 54	9	486.00
8	-3** =		
Multiple Dependent		135	135.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$621.00)

**or number previously paid. If greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 300	216 155	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt.	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$40.00)

SUBMITTED BY

Name (Print/Type) **Thomas Adams**Registration No. (Attorney/Agent) **31078**

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Signature

*Th Adams*Date **26 July '01**

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07/27/01

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